

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Steven M. H. WALLMAN  
Serial Number : 10/627,646  
Filed : July 28, 2003  
For : METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR  
: SMALLER INVESTORS OR OTHERS TO CREATE AND  
: MANAGE A PORTFOLIO OF SECURITIES OR OTHER ASSETS OR  
: LIABILITIES ON A COST EFFECTIVE BASIS  
Art Unit : 3609  
Examiner : Hao Fu

Mail Stop **AMENDMENT**  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313

**PETITION TO EXTEND TIME TO RESPOND AND  
RESPONSE TO OFFICE ACTION**

Sir:

The Applicant hereby petitions the Assistant Commissioner to grant a two (2) month extension of time, up to and including February 14, 2008 in which to respond to the Office Action mailed September 14, 2007 in the above-identified application. The small entity extension fee in the amount of \$230.00 may be charged to the Deposit Account of Kenyon & Kenyon, Deposit Account No. 11-0600. In response to the Office action mailed on September 14, 2007 ("Office action"), Applicant respectfully requests reconsideration of the application in light of the following amendments and remarks:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** begin on page 3 of this paper.

**Remarks** begin on page 6 of this paper.